

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/812,703
		Filing Date	March 19, 2001
		First Named Inventor	Terrance Moore et al.
		Art Unit	3626 3627
		Examiner Name	Vanel Franel
Sheet	1 Of 1	Attorney Docket Number	044258.000002

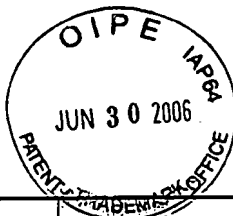
U.S. PATENT DOCUMENTS					
Exr Initials*	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear
	Number- Kind Code (if known)				

FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T
	Country Code	Number	Kind Code (if known)			
		N/A				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T	
V.F	Cherney, Choosing an Advantageous Risk-Sharing Arrangement, March 1999, Healthcare Financial Management, pp. 35-37.	Y	
V.F	Rosenstein et al., Changing Physician Behavior is Tool to Reduce Health Care Costs, September 1991, Healthcare Strategic Management, Vol. 9, Iss. 9, pp. 14-16.	Y	
V.F	Snail, The Effects of Hospital Contracting for Physician Services on Hospital Performance, Spring 2000, University of California, Berkley, pp. 1-182.	Y	
Examiner Signature	<i>Vanel Franel</i>	Date Considered	9/12/07

In re Patent Application of:
Moore et al.

Application No. 09/812,703

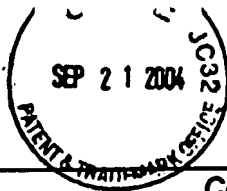


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			Group Art Unit	3626 3627		
			Examiner Name	Frenel, Vanel		
Sheet	1	Of		Attorney Docket Number	044258.00002	
U.S. PATENT DOCUMENTS						
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY		
	Number	Kind Code (if known)				
V.F	2002/0111826	A1	Potter et al.	08-2002		
V.F	2002/0120468	A1	Atallah, William	08-2002		
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FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T₁
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		N/A				Y
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
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	N/A					

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Vanel Frenel

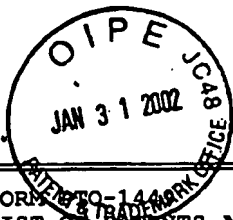
09/12/07



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Moore et al.					
Group Art Unit				2466-3627	
Examiner Name				Frenel	
Sheet	1	of	1	Attorney Docket No.	
				044258.000002	

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Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document	
	Number	Kind Code (if known)		MM-YYYY	
V/F	6,370,511	705/3	Dang	04-2002	
FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document	T ₁
	Country Code	Number			
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	YOUNG et al., Aligning Physician Financial Incentives In A Mixed-Payment Environment, Healthcare Financial Management, pages 46-55 (October 2000).				Y

Examiner Signature	<i>Panel Frenel</i>	Date Considered	9/12/07
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FORM 870-144 LIST OF PARENTS AND APPLICANT'S INFORMATION DISCLOSURE STATEMENT	
ATTORNEY DOCKET NO.: <u>24996</u>	
SERIAL NO.: <u>09/812,703</u>	FILING DATE: <u>March 19, 2001</u>
APPLICANT: <u>Moore et al.</u> GROUP:	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
VF	AA	5,557,514	9/17/96	Seare et al.	364	401	
	AB	5,706,441	1/6/98	Lockwood	395	203	
	AC	5,724,379	3/3/98	Perkins et al.	395	202	
	AD	5,835,897	11/10/98	Dang	705	2	
	AE	5,918,208	6/29/99	Javitt	705	2	
	AF	5,953,704	9/14/99	McIlroy et al.	705	2	
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V.F.	AJ	20010041990	11/15/01	Javitt, Jonathan C.	705	2	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes -- No
	AK						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AL		Information Disclosure Declaration of Charles C. Lewis and Terrance Moore
AM		
AN		
AO		

EXAMINER: <u>Panel Frenel</u>	DATE CONSIDERED: <u>09/12/07</u>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; * Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	